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Approved for use through 10/31/2002 OMB 0851-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 20030/64:1

First Inventor Mark Peterson

Title ANAMORPHIC ILLUMINATION . . .

Express Mail Label No. EL414489545US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 13]

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]

5. Oath or Declaration [Total Pages 3]

- a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))
- b. ☐ (for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [X] Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 [X] Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i) Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /

Prior application information Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label [3529] or ☒ Correspondence address below

Name Richard B. Preiss

Stoel Rives LLP

Address 900 SW Fifth Ave., Suite 2600

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State

OR

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Telephone

503.224.3380

Fax

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Name (Print/Type) Richard B. Preiss

Registration No. (Attorney/Agent) 36,640

Signature Richard B. Preiss

Date 3/30/01

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3529 U.S. PTO 09/823389

03/30/01

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

## Complete if Known

|                      |                       |
|----------------------|-----------------------|
| Application Number   |                       |
| Filing Date          | Concurrently herewith |
| First Named Inventor | Mark Peterson         |
| Examiner Name        |                       |
| Group Art Unit       |                       |
| Attorney Docket No.  | 20030/64.1            |

TOTAL AMOUNT OF PAYMENT (\$ 750.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **19-4455**

Deposit Account Name: **Stoel Rives LLP**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.1.

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee | Fee | Fee | Fee | Fee Description         | Fee Paid |
|--------------|--------------|-----|-----|-----|-----|-------------------------|----------|
| Code (\$)    | Code (\$)    |     |     |     |     |                         |          |
| 101          | 710          | 201 | 355 |     |     | Utility filing fee      | 710      |
| 106          | 320          | 208 | 160 |     |     | Design filing fee       |          |
| 107          | 490          | 207 | 245 |     |     | Plant filing fee        |          |
| 108          | 710          | 208 | 355 |     |     | Resubmission filing fee |          |
| 114          | 150          | 214 | 75  |     |     | Provisional filing fee  |          |

**SUBTOTAL (1) (\$ 710)**

2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
| 13           | 1                  |                    | -20** = 0    | X 16           | 0        |
|              |                    |                    | -3** = 0     | X 80           | 0        |
|              |                    |                    |              |                | 0        |

| Large Entity | Small Entity | Fee | Fee | Fee | Fee | Fee Description   | Fee Paid |
|--------------|--------------|-----|-----|-----|-----|---|----------|
| Code (\$)    | Code (\$)    |     |     |     |     |   |          |
| 103          | 18           | 203 | 9   |     |     | Claims in excess of 20  |          |
| 102          | 80           | 202 | 40  |     |     | Independent claims in excess of 3                               |          |
| 104          | 270          | 204 | 135 |     |     | Multiple dependent claim, if not paid                           |          |
| 109          | 80           | 209 | 40  |     |     | ** Resubmission independent claims over original patent         |          |
| 110          | 18           | 210 | 9   |     |     | ** Resubmission claims in excess of 20 and over original patent |          |

**SUBTOTAL (2) (\$ 0)**

## FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee | Fee    | Fee | Fee | Fee Description  | Fee Paid |
|--------------|--------------|-----|--------|-----|-----|--|----------|
| Code (\$)    | Code (\$)    |     |        |     |     |  |          |
| 105          | 130          | 205 | 65     |     |     | Surcharge - late filing fee or oath  |          |
| 127          | 60           | 227 | 25     |     |     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139          | 130          | 139 | 130    |     |     | Non-English specification  |          |
| 147          | 2,520        | 147 | 2,520  |     |     | For filing a request for ex parte reexamination                            |          |
| 112          | 920*         | 112 | 920*   |     |     | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1,840*       | 113 | 1,840* |     |     | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110          | 215 | 55     |     |     | Extension for reply within first month                                     |          |
| 116          | 390          | 218 | 195    |     |     | Extension for reply within second month                                    |          |
| 117          | 890          | 217 | 445    |     |     | Extension for reply within third month                                     |          |
| 118          | 1,380        | 218 | 695    |     |     | Extension for reply within fourth month                                    |          |
| 128          | 1,890        | 228 | 945    |     |     | Extension for reply within fifth month                                     |          |
| 119          | 310          | 219 | 155    |     |     | Notice of Appeal   |          |
| 120          | 310          | 220 | 155    |     |     | Filing a brief in support of an appeal                                     |          |
| 121          | 270          | 221 | 135    |     |     | Request for oral hearing   |          |
| 138          | 1,510        | 138 | 1,510  |     |     | Petition to institute a public use proceeding                              |          |
| 140          | 110          | 240 | 55     |     |     | Petition to revive - unavoidable   |          |
| 141          | 1,240        | 241 | 620    |     |     | Petition to revive - unintentional   |          |
| 142          | -1,240       | 242 | 620    |     |     | Utility issue fee (or resubmission)  |          |
| 143          | 440          | 243 | 220    |     |     | Design issue fee   |          |
| 144          | 600          | 244 | 300    |     |     | Plant issue fee  |          |
| 122          | 130          | 122 | 130    |     |     | Petitions to the Commissioner  |          |
| 123          | 50           | 123 | 50     |     |     | Petitions related to provisional applications                              |          |
| 126          | 240          | 126 | 240    |     |     | Submission of Information Disclosure Sheet                                 |          |
| 561          | 40           | 561 | 40     |     |     | Recording each patent assignment per property (times number of properties) | 40       |
| 146          | 710          | 246 | 355    |     |     | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149          | 710          | 249 | 355    |     |     | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179          | 710          | 279 | 355    |     |     | Request for Continued Examination (RCE)                                    |          |
| 169          | 900          | 169 | 900    |     |     | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 40)**

\*\*or number previously paid, if greater. For Resubmissions, see above

| SUBMITTED BY      |                          | Complete (if applicable)          |              |
|-------------------|--------------------------|-----------------------------------|--------------|
| Name (Print/Type) | RICHARD B. PREISS        | Registration No. (Attorney/Agent) | 36,640       |
| Signature         | <i>Richard B. Preiss</i> | Telephone                         | 503.224.3380 |
|                   |                          | Date                              | 3/30/01      |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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# PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number

20030/64:1

## CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

| FOR   | NUMBER FILED    | NUMBER EXTRA |
|---|-----------------|--------------|
| BASIC FEE<br>(37 CFR 1.16(a))                     |                 |              |
| TOTAL CLAIMS<br>(37 CFR 1.16(c))                  | 13 minus 20 = * | 0            |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(b))            | 1 minus 3 = *   | 0            |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d)) |                 |              |

| RATE         | FEE      |
|--------------|----------|
|              | \$ _____ |
| x \$ _____ = |          |
| x _____ =    |          |
| + _____ =    |          |
| TOTAL        |          |

| RATE      | FEE    |
|-----------|--------|
|           | \$ 710 |
| x \$ 18 = | 0      |
| x 80 =    | 0      |
| + _____ = | 0      |
| TOTAL     | 710    |

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

| AMENDMENT A   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|----------------------------------|------------------------------------|---------------|
| Total<br>(37 CFR 1.16(c))                                       | *                                | Minus **                           | =             |
| Independent<br>(37 CFR 1.16(b))                                 | *                                | Minus ***                          | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                                  |                                    |               |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| x \$ _____ =     |                |
| x _____ =        |                |
| x _____ =        |                |
| TOTAL ADDIT. FEE |                |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| x \$ _____ =     |                |
| x _____ =        |                |
| x _____ =        |                |
| TOTAL ADDIT. FEE |                |

| AMENDMENT B   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|----------------------------------|------------------------------------|---------------|
| Total<br>(37 CFR 1.16(c))                                       | *                                | Minus **                           | =             |
| Independent<br>(37 CFR 1.16(b))                                 | *                                | Minus ***                          | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                                  |                                    |               |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| x \$ _____ =     |                |
| x _____ =        |                |
| x _____ =        |                |
| TOTAL ADDIT. FEE |                |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| x \$ _____ =     |                |
| x _____ =        |                |
| x _____ =        |                |
| TOTAL ADDIT. FEE |                |

| AMENDMENT C   | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|----------------------------------|------------------------------------|---------------|
| Total<br>(37 CFR 1.16(c))                                       | *                                | Minus **                           | =             |
| Independent<br>(37 CFR 1.16(b))                                 | *                                | Minus ***                          | =             |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |                                  |                                    |               |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| x \$ _____ =     |                |
| x _____ =        |                |
| x _____ =        |                |
| TOTAL ADDIT. FEE |                |

| RATE             | ADDITIONAL FEE |
|------------------|----------------|
| x \$ _____ =     |                |
| x _____ =        |                |
| x _____ =        |                |
| TOTAL ADDIT. FEE |                |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.